

**FORM ADV PART 2A
DISCLOSURE BROCHURE**

WYNN

CAPITAL

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This brochure provides information about the qualifications and business practices of Wynn Capital LLC. Being registered as an investment adviser does not imply a certain level of skill or training. If you have any questions about the contents of this brochure, please contact us at 706-869-3451. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission, or by any state securities authority.

**ADDITIONAL INFORMATION ABOUT WYNN CAPITAL LLC (CRD #281291)
IS AVAILABLE ON THE SEC'S WEBSITE AT WWW.ADVISERINFO.SEC.GOV**

Item 2: Material Changes

Annual Update

The Material Changes section of this brochure will be updated annually or when material changes occur since the previous release of the Firm Brochure.

Material Changes since the Last Update

This update is in accordance with the required annual update for Registered Investment Advisors. Since the last filing of this brochure on February 22, 2023, the following changes have been made:

- Item 4 has been updated with the firm's most recent assets under management calculation.
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Full Brochure Available

This Firm Brochure being delivered is the complete brochure for the Firm.

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Item 4: Advisory Business

Firm Description

Wynn Capital LLC, doing business as Wynn Capital, was founded in August 2015 and began offering advisory services in September 2015. Robert Wynn is 100% owner.

Types of Advisory Services

ASSET MANAGEMENT

Wynn Capital offers discretionary direct asset management services to advisory clients. Wynn Capital will offer Clients ongoing asset management services through determining individual investment goals, time horizons, objectives, and risk tolerance. Investment strategies, investment selection, asset allocation, portfolio monitoring and the overall investment program will be based on the above factors. The Client will authorize Wynn Capital discretionary authority to execute selected investment program transactions as stated within the Investment Advisory Agreement.

Other professionals (e.g., lawyers, accountants, tax preparers, insurance agents, etc.) are engaged directly by the client on an as-needed basis and may charge fees of their own. For example, tax preparation and to the extent your estate plan needs to be updated, the tax preparer and/or attorney will bill the client separately. Conflicts of interest will be disclosed to the client in the event they should occur.

ERISA PLAN SERVICES

Wynn Capital provides service to qualified retirement plans including 401(k) plans, 403(b) plans, pension and profit-sharing plans, cash balance plans, and deferred compensation plans. Wynn Capital may act as a 3(38) advisor:

ERISA 3(38) Investment Manager. Wynn Capital can also act as an ERISA 3(38) Investment Manager in which it has discretionary management and control of a given retirement plan's assets. Wynn Capital would then become solely responsible and liable for the selection, monitoring and replacement of the plan's investment options.

1. Fiduciary Services are:

- Wynn Capital has discretionary authority and will make the final decision regarding the initial selection, retention, removal and addition of investment options in accordance with the Plan's investment policies and objectives.
- Assist the Client with the selection of a broad range of investment options consistent with ERISA Section 404(c) and the regulations thereunder.
- Assist the Client in the development of an investment policy statement ("IPS"). The IPS establishes the investment policies and objectives for the Plan.
- Provide discretionary investment advice to the Plan Sponsor with respect to the selection of a qualified default investment alternative for participants who are automatically enrolled in the Plan or who have otherwise failed to make investment elections. The Client retains the sole responsibility to provide all notices to the Plan participants required under ERISA Section 404(c) (5).

2. Non-fiduciary Services are:

- Assist in the education of Plan participants about general investment information and the investment alternatives available to them under the Plan. Client understands the Wynn Capital's assistance in education of the Plan participants shall be consistent with and within the scope of the Department of Labor's definition of investment education (Department of Labor Interpretive Bulletin 96-1). As such, the Wynn Capital is not providing fiduciary advice as defined by ERISA to the Plan participants. Wynn Capital will not provide investment advice concerning the prudence of any investment option or combination of investment options for a particular participant or beneficiary under the Plan.
- Assist in the group enrollment meetings designed to increase retirement plan participation among the employees and investment and financial understanding by the employees.

Wynn Capital may provide these services or, alternatively, may arrange for the Plan's other providers to offer these services, as agreed upon between Wynn Capital and Client.

3. Wynn Capital has no responsibility to provide services related to the following types of assets ("Excluded Assets"):

- Employer securities;
- Real estate (except for real estate funds or publicly traded REITs);
- Stock brokerage accounts or mutual fund windows;
- Participant loans;
- Non-publicly traded partnership interests;
- Other non-publicly traded securities or property (other than collective trusts and similar vehicles); or
- Other hard-to-value or illiquid securities or property.

Excluded Assets will **not** be included in calculation of Fees paid to the Adviser on the ERISA Agreement. Specific services will be outlined in detail to each plan in the 408(b)2 disclosure.

Client Tailored Services and Client Imposed Restrictions

The goals and objectives for each Client are documented in our Client files. Investment strategies are created that reflect the stated goals and objectives. Clients may impose restrictions on investing in certain securities or types of securities. Agreements may not be assigned without written Client consent.

Wrap Fee Programs

Wynn Capital does not sponsor any wrap fee programs.

Client Assets under Management

Wynn Capital has the following assets under management:

Discretionary Amounts:	Non-discretionary Amounts:	Date Calculated:
\$200,362,801	\$0	December 31, 2023

Item 5: Fees and Compensation

Method of Compensation and Fee Schedule

ASSET MANAGEMENT

Wynn Capital offers discretionary direct asset management services to advisory clients for an annual fee of up to 1.00% of managed assets.

The annual fee may be negotiable. At their discretion, Wynn Capital, may reduce or waive their annual fee. Any adjustments to the fee schedule will be summarized in the Investment Advisory Agreement that is signed by the Client. Accounts within the same household may be combined for a reduced fee. Fees are billed quarterly in arrears based on the amount of assets managed as of the close of business on the last business day of the previous quarter. If \$10,000 or more in cash and/or securities are deposited into or withdrawn from an existing account mid billing period a prorated fee will be charged for that portion.

Quarterly advisory fees deducted from clients' accounts by the custodian will be reflected on the quarterly statements as fees are withdrawn. Lower fees for comparable services may be available from other sources. Clients may terminate their account within five (5) business days of signing the Investment Advisory Agreement for a full refund. Clients may terminate advisory services with thirty (30) days written notice. For accounts closed mid-quarter, Wynn Capital will be entitled to a pro rata fee for the days service was provided in the final quarter. Clients shall be given thirty (30) days prior written notice of any increase in fees. Any increase in fees will be acknowledged by clients in writing.

ERISA PLAN SERVICES

The annual fee is based on the market value of the Included Assets and will not exceed 1.00%. Fees are charged quarterly in arrears based on the assets as calculated by the custodian or record keeper of the Included Assets (without adjustments for anticipated withdrawals by Plan participants or other anticipated or scheduled transfers or distribution of assets) on the last business day of the previous quarter. If the services to be provided start any time other than the first day of a quarter, the fee will be prorated based on the number of days remaining in the quarter. If this Agreement is terminated prior to the end of the fee period, Wynn Capital shall be entitled to a prorated fee based on the number of days during the fee period services were provided.

The compensation of Wynn Capital for services provided is described in detail in Schedule A of the ERISA Plan Agreement. The Plan is obligated to pay the fees, however the Plan Sponsor may elect to pay the fees. The Client may elect to be billed directly or have fees deducted from Plan Assets. Wynn Capital does not reasonably expect to receive any additional compensation, directly or indirectly, for its services under this Agreement. If additional compensation is received, Wynn Capital will disclose this compensation, the services rendered, and the payer of compensation. Wynn Capital will offset this compensation against the fees agreed upon under this Agreement.

Client Payment of Fees

Fees for asset management services are deducted from a designated Client account to facilitate billing.

Fees for ERISA services will either be deducted from Plan assets or paid directly to Wynn Capital.

The Client must consent in advance to direct debiting of their investment account.

Additional Client Fees Charged

Custodians may charge transaction fees other related costs on the purchases or sales of mutual funds, equities, bonds, options and exchange-traded funds. Mutual funds, money market funds and exchange-traded funds also charge internal management fees, which are disclosed in the fund's prospectus. Wynn Capital does not receive any compensation from these fees. All of these fees are in addition to the management fee you pay to Wynn Capital. For more details on the brokerage practices, see Item 12 of this brochure.

Prepayment of Client Fees

Wynn Capital does not require any prepayment of fees.

External Compensation for the Sale of Securities to Clients

Wynn Capital does not receive any external compensation for the sale of securities to Clients, nor do any of the investment advisor representatives of Wynn Capital.

Item 6: Performance-Based Fees and Side-by-Side Management

Sharing of Capital Gains

Fees are not based on a share of the capital gains or capital appreciation of managed securities.

Wynn Capital does not use a performance-based fee structure because of the conflict of interest. Performance based compensation may create an incentive for Wynn Capital to recommend an investment that may carry a higher degree of risk to the Client.

Item 7: Types of Clients

Description

Wynn Capital generally provides investment advice to individuals, high net worth individuals, and pension/profit sharing plans. Client relationships vary in scope and length of service.

Account Minimums

Wynn Capital requires an account minimum of \$500,000, which may be waived based on the needs of the client and the complexity of the situation.

Item 8: Methods of Analysis, Investment Strategies and Risk of Loss

Methods of Analysis

When it comes to investing, Wynn Capital believes that simpler is better. In that spirit, Wynn Capital invests the equity portion of client portfolios in a diverse cross-section of large, well-established, publicly traded businesses with durable competitive advantages. These investments are made with the intention that they be owned for decades.

Security analysis methods may include fundamental analysis, technical analysis, charting, and cyclical analysis. Investing in securities involves risk of loss that Clients should be prepared to bear. Past performance is not a guarantee of future returns.

Fundamental analysis concentrates on factors that determine a company's value and expected future earnings. This strategy would normally encourage equity purchases in stocks that are undervalued or priced below their perceived value. The risk assumed is that the market will fail to reach expectations of perceived value.

Technical analysis attempts to predict a future stock price or direction based on market trends. The assumption is that the market follows discernible patterns and if these patterns can be identified then a prediction can be made. The risk is that markets do not always follow patterns and relying solely on this method may not take into account new patterns that emerge over time.

Charting analysis strategy involves using and comparing various charts to predict long and short term performance or market trends. The risk involved in using this method is that only past performance data is considered without using other methods to crosscheck data. Using charting analysis without other methods of analysis would be making the assumption that past performance will be indicative of future performance. This may not be the case.

Cyclical analysis assumes that the markets react in cyclical patterns which, once identified, can be leveraged to provide performance. The risks with this strategy are twofold: 1) the markets do not always repeat cyclical patterns; and 2) if too many investors begin to implement this strategy, then it changes the very cycles these investors are trying to exploit.

Wynn Capital invests the fixed income portion of client portfolios in highly rated bonds for current income and return of principle upon maturity. Specific bond maturities are often selected to satisfy known future cash requirements in specific portfolios. Fixed income securities are rarely sold prior to maturity.

Wynn Capital uses a variety of information sources to analyze and select securities purchased in client accounts including financial newspapers and magazines, research materials prepared by others, corporate rating services, annual reports, prospectuses, and filings with the Securities and Exchange Commission.

Investment Strategy

The investment strategy for a specific Client is based upon the objectives stated by the Client during consultations. The Client may change these objectives at any time by providing written notice to Wynn Capital. Each Client executes a Client profile form or similar form that documents their objectives and their desired investment strategy.

Wynn Capital client portfolios are composed of individual stocks, individual bonds and cash. Broad market index funds are used in circumstances where buying individual securities is impractical. Based on the client's tax situation, Wynn Capital may decide to hold other legacy investments with large, unrealized capital gains.

The relative size of the fixed income/cash portion of client portfolios varies depending on each client's ability and willingness to tolerate fluctuations in the value of their investments

(fluctuation in the value of an investment or portfolio of investments is often referred to as “risk”). Accounts where fluctuations in value are less of a concern are heavily invested in equity. Accounts with shorter time horizons, or where capital preservation is a priority, have a substantial allocation to cash and bonds.

Security Specific Material Risks

All investment programs have certain risks that are borne by the investor. Our investment approach constantly keeps the risk of loss in mind. Investors face the following investment risks and should discuss these risks with Wynn Capital:

- *Market Risk:* The prices of securities in which clients invest may decline in response to certain events taking place around the world, including those directly involving the companies whose securities are owned by a fund; conditions affecting the general economy; overall market changes; local, regional or global political, social or economic instability; and currency, interest rate and commodity price fluctuations. Investors should have a long-term perspective and be able to tolerate potentially sharp declines in market value.
- *Interest-rate Risk:* Fluctuations in interest rates may cause investment prices to fluctuate. For example, when interest rates rise, yields on existing bonds become less attractive, causing their market values to decline.
- *Inflation Risk:* When any type of inflation is present, a dollar today will buy more than a dollar next year, because purchasing power is eroding at the rate of inflation.
- *Management Risk:* The advisor’s investment approach may fail to produce the intended results. If the advisor’s assumptions regarding the performance of a specific asset class or fund are not realized in the expected time frame, the overall performance of the client’s portfolio may suffer.
- *Long-term purchases:* Long-term investments are those vehicles purchased with the intension of being held for more than one year. Typically the expectation of the investment is to increase in value so that it can eventually be sold for a profit. In addition, there may be an expectation for the investment to provide income. One of the biggest risks associated with long-term investments is volatility, the fluctuations in the financial markets that can cause investments to lose value.
- *Trading risk:* Investing involves risk, including possible loss of principal. There is no assurance that the investment objective of any fund or investment will be achieved.

Item 9: Disciplinary Information

Criminal or Civil Actions

Wynn Capital and its management have not been involved in any criminal or civil action.

Administrative Enforcement Proceedings

Wynn Capital and its management have not been involved in administrative enforcement proceedings.

Self-Regulatory Organization Enforcement Proceedings

Wynn Capital and its management have not been involved in any self-regulatory organizational enforcement proceedings that are material to a Client's or prospective Client's evaluation of Wynn Capital or the integrity of its management.

Item 10: Other Financial Industry Activities and Affiliations

Broker-Dealer or Representative Registration

Wynn Capital is not registered as a broker-dealer and no affiliated representatives of Wynn Capital are registered representatives of a broker-dealer.

Futures or Commodity Registration

Neither Wynn Capital nor its affiliated representatives are registered or have an application pending to register as a futures commission merchant, commodity pool operator, or a commodity trading advisor.

Material Relationships Maintained by this Advisory Business and Conflicts of Interest

Wynn Capital does not maintain any material relationships outside of the Advisory Business.

Recommendations or Selections of Other Investment Advisors and Conflicts of Interest

Wynn Capital does not select or recommend other investment advisors.

Item 11: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Code of Ethics Description

The affiliated persons (affiliated persons include employees and/or independent contractors) of Wynn Capital have committed to a Code of Ethics ("Code"). The purpose of our Code is to set forth standards of conduct expected of Wynn Capital affiliated persons and addresses conflicts that may arise. The Code defines acceptable behavior for affiliated persons of Wynn Capital. The Code reflects Wynn Capital and its supervised persons' responsibility to act in the best interest of their Client.

One area which the Code addresses is when affiliated persons buy or sell securities for their personal accounts and how to mitigate any conflict of interest with our Clients. We do not allow any affiliated persons to use non-public material information for their personal profit or to use internal research for their personal benefit in conflict with the benefit to our Clients.

Wynn Capital's policy prohibits any person from acting upon or otherwise misusing non-public or inside information. No advisory representative or other affiliated person, officer or director of Wynn Capital may recommend any transaction in a security or its derivative to advisory Clients or engage in personal securities transactions for a security or its derivatives if the advisory representative possesses material, non-public information regarding the security.

Wynn Capital's Code is based on the guiding principle that the interests of the Client are our top priority. Wynn Capital's officers, directors, advisors, and other affiliated persons

have a fiduciary duty to our Clients and must diligently perform that duty to maintain the complete trust and confidence of our Clients. When a conflict arises, it is our obligation to put the Client's interests over the interests of either affiliated persons or the company.

The Code applies to "access" persons. "Access" persons are affiliated persons who have access to non-public information regarding any Clients' purchase or sale of securities, or non-public information regarding the portfolio holdings of any reportable fund, who are involved in making securities recommendations to Clients, or who have access to such recommendations that are non-public.

Wynn Capital will provide a copy of the Code of Ethics to any Client or prospective Client upon request.

Investment Recommendations Involving a Material Financial Interest and Conflict of Interest

Wynn Capital and its affiliated persons do not recommend to Clients securities in which we have a material financial interest.

Advisory Firm Purchase of Same Securities Recommended to Clients and Conflicts of Interest

Wynn Capital and its affiliated persons may buy or sell securities that are also held by Clients. In order to mitigate conflicts of interest such as trading ahead of Client transactions, affiliated persons are required to disclose all reportable securities transactions as well as provide Wynn Capital with copies of their brokerage statements.

The Chief Compliance Officer of Wynn Capital is Robert Wynn. He reviews all trades of the affiliated persons each quarter. The personal trading reviews ensure that the personal trading of affiliated persons does not affect the markets and that Clients of the firm receive preferential treatment over associated persons' transactions.

Client Securities Recommendations or Trades and Concurrent Advisory Firm Securities Transactions and Conflicts of Interest

Wynn Capital does not have a material financial interest in any securities being recommended. However, affiliated persons may buy or sell securities at the same time they buy or sell securities for Clients. In order to mitigate conflicts of interest such as front running, affiliated persons are required to disclose all reportable securities transactions as well as provide Wynn Capital with copies of their brokerage statements.

The Chief Compliance Officer of Wynn Capital is Robert Wynn. He reviews all trades of the affiliated persons each quarter. The personal trading reviews ensure that the personal trading of affiliated persons does not affect the markets and that Clients of the firm receive preferential treatment over associated persons' transactions.

Item 12: Brokerage Practices

Factors Used to Select Broker-Dealers for Client Transactions

Wynn Capital will recommend the use of a particular broker-dealer based on their duty to seek best execution for the client, meaning they have an obligation to obtain the most favorable terms for a client under the circumstances. The determination of what may constitute best execution and price in the execution of a securities transaction by a broker

involves a number of considerations and is subjective. Factors affecting brokerage selection include the overall direct net economic result to the portfolios, the efficiency with which the transaction is affected, the ability to effect the transaction where a large block is involved, the operational facilities of the broker-dealer, the value of an ongoing relationship with such broker and the financial strength and stability of the broker. Wynn Capital will select appropriate brokers based on a number of factors including but not limited to their relatively low transaction fees and reporting ability. Wynn Capital relies on its broker to provide its execution services at the best prices available. Lower fees for comparable services may be available from other sources. Clients pay for any and all custodial fees in addition to the advisory fee charged by Wynn Capital. Wynn Capital does not receive any portion of the trading fees.

Wynn Capital will require the use of Charles Schwab & Co., Inc. ("Schwab"), to maintain custody of clients assets and to effect trades for their accounts.

- *Research and Other Soft Dollar Benefits*

The Securities and Exchange Commission defines soft dollar practices as arrangement under which products or services other than execution services are obtained by Wynn Capital from or through a broker-dealer in exchange for directing Client transactions to the broker-dealer. Although Wynn Capital has no formal soft dollar arrangements, Wynn Capital may receive products, research and/or other services from custodians or broker-dealers connected to client transactions or "soft dollar benefits". As permitted by Section 28(e) of the Securities Exchange Act of 1934, Wynn Capital receives economic benefits as a result of commissions generated from securities transactions by the custodian or broker-dealer from the accounts of Wynn Capital. Wynn Capital cannot ensure that a particular client will benefit from soft dollars or the client's transactions paid for the soft dollar benefits. Wynn Capital does not seek to proportionately allocate benefits to client accounts to any soft dollar benefits generated by the accounts.

A conflict of interest exists when Wynn Capital receives soft dollars which could result in higher commissions charged to Clients. This conflict is mitigated by the fact that Wynn Capital has a fiduciary responsibility to act in the best interest of its Clients and the services received are beneficial to all Clients.

- *Brokerage for Client Referrals*

Wynn Capital does not receive client referrals from any custodian or third party in exchange for using that broker-dealer or third party.

- *Directed Brokerage*

Wynn Capital does not allow directed brokerage accounts.

Aggregating Securities Transactions for Client Accounts

Wynn Capital is authorized in its discretion to aggregate purchases and sales and other transactions made for the account with purchases and sales and transactions in the same securities for other Clients of Wynn Capital. All Clients participating in the aggregated order shall receive an average share price with all other transaction costs shared on a pro-rated basis. If aggregation is not allowed or infeasible and individual transactions occur

(e.g., withdrawal or liquidation requests, odd-lot trades, etc.) an account may potentially be assessed higher costs or less favorable prices than those where aggregation has occurred.

Item 13: Review of Accounts

Schedule for Periodic Review of Client Accounts or Financial Plans and Advisory

Persons Involved

Account reviews are performed quarterly by the Chief Compliance Officer of Wynn Capital, Robert Wynn. Account reviews are performed more frequently when market conditions dictate. Reviews of Client accounts include, but are not limited to, a review of Client documented risk tolerance, adherence to account objectives, investment time horizon, and suitability criteria, reviewing target allocations of each asset class to identify if there is an opportunity for rebalancing, and reviewing accounts for tax loss harvesting opportunities.

Review of Client Accounts on Non-Periodic Basis

Other conditions that may trigger a review of Clients' accounts are changes in the tax laws, new investment information, and changes in a Client's own situation.

Content of Client Provided Reports and Frequency

Clients receive written account statements no less than quarterly from the account Custodian. Clients receive confirmations of each transaction from the Custodian as well as an additional statement during any month in which a transaction occurs. Annual performance reports are also provided upon request.

Item 14: Client Referrals and Other Compensation

Economic Benefits Provided to the Advisory Firm from External Sources and Conflicts of Interest

Wynn Capital receives additional economic benefits from external sources as described above in Item 12.

Advisory Firm Payments for Client Referrals

Wynn Capital does not compensate for Client referrals.

Item 15: Custody

Account Statements

All assets are held at qualified custodians, which means the custodians provide account statements directly to Clients at their address of record at least quarterly. Clients are urged to carefully compare the account statements received directly from their custodians to any documentation or reports prepared by Wynn Capital.

Wynn Capital is deemed to have limited custody solely because advisory fees are directly deducted from Client's accounts by the custodian on behalf of Wynn Capital.

Item 16: Investment Discretion

Discretionary Authority for Trading

Wynn Capital requires discretionary authority to manage securities accounts on behalf of Clients. Wynn Capital has the authority to determine, without obtaining specific Client consent, the securities to be bought or sold, and the amount of the securities to be bought or sold.

Wynn Capital allows Clients to place certain restrictions, as outlined in the Client's Investment Policy Statement or similar document. These restrictions must be provided to Wynn Capital in writing.

The Client approves the custodian to be used and the commission rates paid to the custodian. Wynn Capital does not receive any portion of the transaction fees or commissions paid by the Client to the custodian.

Item 17: Voting Client Securities

Proxy Votes

Wynn Capital does not vote proxies on securities. Clients are expected to vote their own proxies. The Client will receive their proxies directly from the custodian of their account or from a transfer agent.

When assistance on voting proxies is requested, Wynn Capital will provide recommendations to the Client. If a conflict of interest exists, it will be disclosed to the Client. If the Client requires assistance or has questions, they can reach out to the investment advisor representatives of the firm at the contact information on the cover page of this document.

Item 18: Financial Information

Balance Sheet

A balance sheet is not required to be provided to Clients because Wynn Capital does not serve as a custodian for Client funds or securities and Wynn Capital does not require prepayment of fees of more than \$1,200 per Client and six months or more in advance.

Financial Conditions Reasonably Likely to Impair Advisory Firm's Ability to Meet Commitments to Clients

Wynn Capital has no condition that is reasonably likely to impair our ability to meet contractual commitments to our Clients.

Bankruptcy Petitions during the Past Ten Years

Wynn Capital has not had any bankruptcy petitions in the last ten years.

Item 1 Cover Page

SUPERVISED PERSON BROCHURE
FORM ADV PART 2B

Robert Wynn, CFA

WYNN

CAPITAL

Office Address:

965 Broad Street
Augusta, GA 30901

Tel: 706-869-3451

Fax: 706-869-2263

Email: Rob@wynncap.com

Website: www.wynncap.com

February 6, 2024

This brochure supplement provides information about Robert Wynn and supplements the Wynn Capital LLC brochure. You should have received a copy of that brochure. Please contact Robert Wynn if you did not receive the brochure or if you have any questions about the contents of this supplement.

ADDITIONAL INFORMATION ABOUT ROBERT WYNN (CRD #5968336) IS AVAILABLE ON THE SEC'S WEBSITE AT WWW.ADVISERINFO.SEC.GOV.

Brochure Supplement (Part 2B of Form ADV)

Supervised Person Brochure

Principal Executive Officer – Robert Wynn, CFA

- Year of birth: 1981

Item 2 - Educational Background and Business Experience

Educational Background

- NYU Stern School of Business, Master of Business Administration – Finance/Accounting; 05/2011
- U.S. Naval Academy, Bachelor of Science – Political Science; 05/2004

Professional Certifications

Robert Wynn has earned certifications and credentials that are required to be explained in further detail.

Chartered Financial Analyst (CFA): Chartered Financial Analysts designation is awarded by the CFA Institute. CFA certification requirements:

- Hold a bachelor's degree from an accredited institution or have equivalent educational or work experience.
- Successful completion of all three exam levels of the CFA Program.
- Have 48 months of acceptable professional work experience in the investment decision-making process.
- Fulfill society requirements, which vary by society. Unless you are upgrading from affiliate membership, all societies require two sponsor statements as part of each application; these are submitted online by your sponsors.
- Agree to adhere to and sign the Member's Agreement, a Professional Conduct Statement, and any additional documentation requested by CFA Institute.

Business Experience

- Wynn Capital LLC; Managing Member/Chief Compliance Officer; 08/2015 to Present
- Asset Advisors Corporation; Portfolio Manager; 06/2013 to 09/2015
- JP Morgan Securities, LLC; Global Investment Specialist; 09/2011 to 04/2013
- Extended Vacation; 05/2011 to 09/2011
- NYU Stern School of Business; Full-time Student; 08/2009 to 05/2011
- U.S. Navy; Sub Officer; 05/2004 to 08/2009

Item 3 - Disciplinary Information

- A. Robert Wynn has never been involved in a criminal or civil action in a domestic, foreign or military court of competent jurisdiction for which he:

1. Was convicted of, or pled guilty or nolo contendere (“no contest”) to (a) any felony; (b) misdemeanor that involved investments or an investment-related business, fraud, false statement or omissions, wrongful taking of property, bribery, perjury, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
 2. Is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
 3. Was found to have been involved in a violation of an investment-related statute or regulation; or
 4. Was the subject of any order, judgement or decree permanently or temporarily enjoining, or otherwise limiting, him from engaging in any investment related activity, or from violating any investment-related statute, rule, or order.
- B. Robert Wynn never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which he:
1. Was found to have caused an investment-related business to lose its authorization to do business; or the subject of an order by the agency or authority;
 2. Was found to have been involved in a violation of an investment-related statute or regulation or was the subject of an order by the agency or authority
(a) denying, suspending or revoking the authorization of the supervised person to act in an investment-related business; (b) barring or suspending his association with an investment-related business; (c) otherwise significantly limiting his investment-related activities; or (d) imposing a civil money penalty of more than \$2,500 on him.
- C. Robert Wynn has never been the subject of a self-regulatory organization (SRO) proceeding in which he:
1. Was found to have caused an investment-related business to lose its authorization to do business; or
 2. Was found to have been involved in a violation of the SRO’s rules and was: (a) barred or suspended from membership or from association with other members, or was expelled from membership; (b) otherwise significantly limited from investment-related activities; or (c) fined more than \$2,500.
- D. Robert Wynn has not been involved in any other hearing or formal adjudication in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

Item 4 - Other Business Activities

Robert Wynn does not have any other business activities.

Item 5 - Additional Compensation

Robert Wynn does not receive any performance-based fees and does not receive any additional compensation for performing advisory services other than what is described in Item 5 of Part 2A.

Item 6 - Supervision

Since Robert Wynn is the sole owner and investment adviser representative of Wynn Capital and is solely responsible for all supervision and formulation and monitoring of investment advice offered to Clients. He will adhere to the policies and procedures as described in the firm's Compliance Manual. He can be reached at Rob@wynncap.com or 706-869-3451.

Item 1 Cover Page

SUPERVISED PERSON BROCHURE
FORM ADV PART 2B

Michael “Emmett” Turner, CFP®, CPA

WYNN

CAPITAL

Office Address:

965 Broad Street
Augusta, GA 30901

Tel: 706-869-3451

Fax: 706-869-2263

Email: Emmett@wynncap.com

Website: www.wynncap.com

February 6, 2024

This brochure supplement provides information about Emmett Turner and supplements the Wynn Capital LLC brochure. You should have received a copy of that brochure. Please contact Emmett Turner if you did not receive the brochure or if you have any questions about the contents of this supplement.

**ADDITIONAL INFORMATION ABOUT EMMETT TURNER (CRD #7051854)
IS AVAILABLE ON THE SEC’S WEBSITE AT WWW.ADVISERINFO.SEC.GOV.**

Brochure Supplement (Part 2B of Form ADV) Supervised Person Brochure

Emmett Turner, CFP®, CPA

- Year of birth: 1983
-

Item 2 - Educational Background and Business Experience

Educational Background

- Auburn University; Master of Accountancy Accounting; 2012
- Augusta State University; Bachelor of Business Administration Accounting; 2005

Professional Certifications

Emmett Turner has earned certifications and credentials that are required to be explained in further detail.

CERTIFIED FINANCIAL PLANNER™ (CFP®)

I am certified for financial planning services in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). Therefore, I may refer to myself as a CERTIFIED FINANCIAL PLANNER™ professional or a CFP® professional, and I may use these and CFP Board’s other certification marks (the “CFP Board Certification Marks”). CFP® certification is voluntary. No federal or state law or regulation requires financial planners to hold CFP® certification. You may find more information about CFP® certification at www.cfp.net.

CFP® professionals have met CFP Board’s high standards for education, examination, experience, and ethics. To become a CFP® professional, an individual must fulfill the following requirements:

- **Education** – Earn a bachelor’s degree or higher from an accredited college or university and complete CFP Board-approved coursework at a college or university through a CFP Board Registered Program. The coursework covers the financial planning subject areas CFP Board has determined are necessary for the competent and professional delivery of financial planning services, as well as a comprehensive financial plan development capstone course. A candidate may satisfy some of the coursework requirement through other qualifying credentials.
- **Examination** – Pass the comprehensive CFP® Certification Examination. The examination is designed to assess an individual’s ability to integrate and apply a broad base of financial planning knowledge in the context of real-life financial planning situations.
- **Experience** – Complete 6,000 hours of professional experience related to the personal financial planning process, or 4,000 hours of apprenticeship experience that meets additional requirements.
- **Ethics** – Satisfy the *Fitness Standards for Candidates for CFP® Certification and Former CFP® Professionals Seeking Reinstatement* and agree to be bound by CFP

Board's *Code of Ethics and Standards of Conduct* ("Code and Standards"), which sets forth the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to remain certified and maintain the right to continue to use the CFP Board Certification Marks:

- **Ethics** – Commit to complying with CFP Board's *Code and Standards*. This includes a commitment to CFP Board, as part of the certification, to act as a fiduciary, and therefore, act in the best interests of the client, at all times when providing financial advice and financial planning. CFP Board may sanction a CFP® professional who does not abide by this commitment, but CFP Board does not guarantee a CFP® professional's services. A client who seeks a similar commitment should obtain a written engagement that includes a fiduciary obligation to the client.
- **Continuing Education** – Complete 30 hours of continuing education hours every two years to maintain competence, demonstrate specified levels of knowledge, skills, and abilities, and keep up with developments in financial planning. Two of the hours must address the *Code and Standards*.

Certified Public Accountant (CPA): A Certified Public Accountant is licensed by their state board of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include:

- Bachelor's degree from an accredited college or university with a concentration in accounting.
- Minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA.)
- Successful completion of the CPA Certification Exam.
- Follow a rigorous Code of Professional Conduct which requires they act with integrity, objectivity, due care, competence, and fully disclose conflicts of interest.
- In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period, or 120 hours over a three-year period).

Business Experience

- Wynn Capital LLC; Vice President/ Investment Adviser Representative; 12/2018 to Present
 - Elliott Davis, LLC; Assurance Manager; 08/2012 – 11/2018
 - Student; 05/2011 – 07/2012
 - Westminster Schools of Augusta; Accounting Assistant; 02/2011 – 05/2011
-

Item 3 - Disciplinary Information

A. Emmett Turner has never been involved in a criminal or civil action in a domestic, foreign or military court of competent jurisdiction for which he:

1. Was convicted of, or pled guilty or nolo contendere (“no contest”) to (a) any felony; (b) misdemeanor that involved investments or an investment-related business, fraud, false statement or omissions, wrongful taking of property, bribery, perjury, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
 2. Is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
 3. Was found to have been involved in a violation of an investment-related statute or regulation; or
 4. Was the subject of any order, judgement or decree permanently or temporarily enjoining, or otherwise limiting, him from engaging in any investment related activity, or from violating any investment-related statute, rule, or order.
- B. Emmett Turner never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which he:
1. Was found to have caused an investment-related business to lose its authorization to do business; or the subject of an order by the agency or authority;
 2. Was found to have been involved in a violation of an investment-related statute or regulation or was the subject of an order by the agency or authority
(a) denying, suspending or revoking the authorization of the supervised person to act in an investment-related business; (b) barring or suspending his association with an investment-related business; (c) otherwise significantly limiting his investment-related activities; or (d) imposing a civil money penalty of more than \$2,500 on him.
- C. Emmett Turner has never been the subject of a self-regulatory organization (SRO) proceeding in which he:
1. Was found to have caused an investment-related business to lose its authorization to do business; or
 2. Was found to have been involved in a violation of the SRO’s rules and was: (a) barred or suspended from membership or from association with other members, or was expelled from membership; (b) otherwise significantly limited from investment-related activities; or (c) fined more than \$2,500.
- D. Emmett Turner has not been involved in any other hearing or formal adjudication in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

Item 4 - Other Business Activities

Emmett Turner does not have any other business activities.

Item 5 - Additional Compensation

Emmett Turner does not receive any performance-based fees and does not receive any additional compensation for performing advisory services other than what is described in Item 5 of Part 2A.

Item 6 - Supervision

Emmett Turner is supervised by Robert Wynn, Managing Member/Chief Compliance Officer of Wynn Capital. He reviews Emmett Turner's work through client account reviews, quarterly personal transaction reports, as well as face-to-face and phone interactions.

Robert Wynn can be reached at Rob@wynncap.com or 706-869-3451.

Item 1 Cover Page

SUPERVISED PERSON BROCHURE
FORM ADV PART 2B

Joshua M. Baker, CPA

WYNN

CAPITAL

Office Address:

965 Broad Street
Augusta, GA 30901

Tel: 706-869-3451

Fax: 706-869-2263

Email: josh@wynncap.com

Website: www.wynncap.com

February 6, 2024

This brochure supplement provides information about Joshua M. Baker and supplements the Wynn Capital LLC brochure. You should have received a copy of that brochure. Please contact Joshua Baker if you did not receive the brochure or if you have any questions about the contents of this supplement.

**ADDITIONAL INFORMATION ABOUT JOSHUA M. BAKER (CRD #7661166)
IS AVAILABLE ON THE SEC'S WEBSITE AT WWW.ADVISERINFO.SEC.GOV.**

Brochure Supplement (Part 2B of Form ADV) Supervised Person Brochure

Joshua M. Baker, CPA

- Year of birth: 1996
-

Item 2 - Educational Background and Business Experience

Educational Background

- University of Georgia; Master of Accountancy - Accounting; 2018
- University of Georgia; Bachelor of Business Administration - Accounting; 2017

Professional Certifications

Joshua Baker has earned certifications and credentials that are required to be explained in further detail.

Certified Public Accountant (CPA): A Certified Public Accountant is licensed by their state board of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include:

- Bachelor's degree from an accredited college or university with a concentration in accounting.
- Minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA.)
- Successful completion of the CPA Certification Exam.
- Follow a rigorous Code of Professional Conduct which requires they act with integrity, objectivity, due care, competence, and fully disclose conflicts of interest.
- In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two-year period, or 120 hours over a three-year period).

Business Experience

- Wynn Capital LLC; Investment Adviser Representative; 11/2022 to Present
- Wynn Capital LLC; Investment Analyst; 08/2022 – Present
- Serotta Maddocks Evans & Co., CPAs; CPA - Tax Accountant; 08/2020 – 07/2022
- Deloitte Tax LLP; CPA - Tax Consultant; 01/2019 – 07/2020
- Dixon Hughes Goodman LLP; Intern – Tax Accountant; 06/2018 – 07/2018
- Student; 05/2010– 12/2018
- Cherry Bekaert LLP; Intern – Tax Accountant; 06/2017 – 08/2017

Item 3 - Disciplinary Information

- E. Joshua Baker has never been involved in a criminal or civil action in a domestic, foreign or military court of competent jurisdiction for which he:
5. Was convicted of, or pled guilty or nolo contendere (“no contest”) to (a) any felony; (b) misdemeanor that involved investments or an investment-related business, fraud, false statement or omissions, wrongful taking of property, bribery, perjury, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
 6. Is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
 7. Was found to have been involved in a violation of an investment-related statute or regulation; or
 8. Was the subject of any order, judgement or decree permanently or temporarily enjoining, or otherwise limiting, him from engaging in any investment related activity, or from violating any investment-related statute, rule, or order.
- F. Joshua Baker never had an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which he:
3. Was found to have caused an investment-related business to lose its authorization to do business; or the subject of an order by the agency or authority;
 4. Was found to have been involved in a violation of an investment-related statute or regulation or was the subject of an order by the agency or authority
(a) denying, suspending or revoking the authorization of the supervised person to act in an investment-related business; (b) barring or suspending his association with an investment-related business; (c) otherwise significantly limiting his investment-related activities; or (d) imposing a civil money penalty of more than \$2,500 on him.
- G. Joshua Baker has never been the subject of a self-regulatory organization (SRO) proceeding in which he:
3. Was found to have caused an investment-related business to lose its authorization to do business; or
 4. Was found to have been involved in a violation of the SRO’s rules and was: (a) barred or suspended from membership or from association with other members, or was expelled from membership; (b) otherwise significantly limited from investment-related activities; or (c) fined more than \$2,500.
- H. Joshua Baker has not been involved in any other hearing or formal adjudication in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct.

Item 4 - Other Business Activities

Joshua Baker does not have any other business activities.

Item 5 - Additional Compensation

Joshua Baker does not receive any performance-based fees and does not receive any additional compensation for performing advisory services other than what is described in Item 5 of Part 2A.

Item 6 - Supervision

Joshua Baker is supervised by Robert Wynn, Managing Member/Chief Compliance Officer of Wynn Capital. He reviews Joshua Baker's work through client account reviews, quarterly personal transaction reports, as well as face-to-face and phone interactions.

Robert Wynn can be reached at Rob@wynncap.com or 706-869-3451.